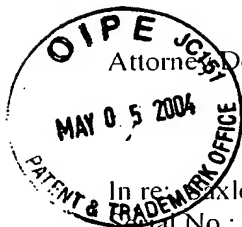


IFW  
Attorney Docket No. 5308-248

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Axler et al.

Serial No.: 10/617,843

Filed: July 11, 2003

For: NITRIDE-BASED TRANSISTORS AND METHODS OF FABRICATION THEREOF  
USING NON-ETCHED CONTACT RECESSES

Group Art Unit: 2811

Examiner: To Be Assigned

Confirmation No.: 7985

Date: May 3, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

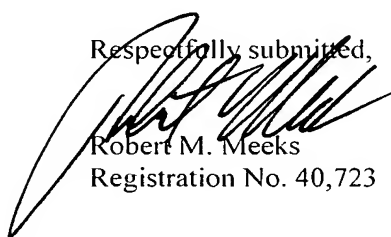
**FOURTH INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of any listed foreign patent document and/or non-patent literature. A copy of any listed U.S. patent and/or U.S. patent application publication is not provided herewith in accordance with the waiver by the U.S. Patent and Trademark Office of requirements under 37 C.F.R. § 1.98(a)(2)(i) for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003.

It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.56 and Section 609 of the MPEP. No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 50-0220.

Respectfully submitted,



Robert M. Meeks  
Registration No. 40,723

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**Certificate of Mailing under 37 CFR 1.8 (or 1.10)**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 3, 2004.



Candi L. Riggs

<b>FORM PTO-1449</b> U.S. Department of Commerce Patent and Trademark Office				Attorney Docket Number 5308-248		Serial No. 10/617,843	
<div style="display: flex; align-items: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; text-align: center; margin-right: 10px;"> <b>OIP/E</b>  <b>MAY 05 2004</b>  <b>PATENT &amp; TRADEMARK OFFICE</b> </div> <div> <b>LIST OF DOCUMENTS CITED BY APPLICANT</b>            (Use several sheets if necessary)         </div> </div>				Applicants: Adam William Saxler et al.			
				Filing Date: July 11, 2003		Group 2811	
<b>U. S. PATENTS &amp; PATENT APPLICATION PUBLICATIONS</b>							
Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation Yes   No
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	1	Heikman, Sten J., <i>MOCVD Growth Technologies for Applications in AlGaIn/GaN High Electron Mobility Transistors</i> , Dissertation, University of California – Santa Barbara, September 2002, 190 pages					

 EXAMINER \_\_\_\_\_  
 \*EXAMINER \_\_\_\_\_

DATE CONSIDERED \_\_\_\_\_

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.